



Sector 18
(3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : M. Simons, R. Volk & A. Horowitz
Serial No. : 09/145,916
Filed : September 2, 1998
For : "STIMULATION OF ANGIOGENESIS VIA
ENHANCED ENDOTHELIAL EXPRESSION OF
SYNDECAN-4 CORE PROTEINS"
Examiner : unknown
Group Art Unit : 1643
Attorney's Docket No. : BIS-039

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231
on: March 2, 1999.

Attorney for Applicants:

Signature:

Date:

David Popsken
David Popsken
March 2, 1999

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION,
FILING DATE GRANTED AND NOTICE TO FILE CORRECTED
APPLICATION PAPERS, FILING DATE GRANTED**

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Box Missing Parts
Washington, D.C. 20231

Dear Sir:

In response to the Notice To File Missing Parts Of Application, Filing Date Granted as mailed September 21, 1998 and extended through March 21, 1999; and the Notice To File Corrected Application Papers, Filing Date Granted as mailed September 22, 1998 and extended through March 22, 1999; please



find enclosed the following documents for filing on behalf of applicant and for formal entry into the above-identified application:

1. A combined Declaration And Power Of Attorney which identifies the present application by the serial number and filing date affixed thereto and which is signed and dated by each of the named co-inventors. This signed Declaration And Power Of Attorney was signed by each of the named co-inventors only after review of the Specification of record hereof.
2. A verified statement claiming Small Entity status which is signed and dated by each of the named inventors of even date with the signed Declaration And Power Of Attorney.
3. One copy of the Notice To File Missing Parts Of Application, Filing Date Granted as mailed September 21, 1998.
4. A substitute drawing constituting Figs. 1-19 which are in compliance with 37 C.F.R. 1.84.
5. One copy of the Notice To File Corrected Application Papers, Filing Date Granted as mailed September 22, 1998.
6. A Request for entry of formally signed documents and substitute drawing.
7. A Petition for a three month extension of time with requisite fee payment for this request.

In view of the enclosed documents and applicant's status as a Small Entity, the requisite fees are calculated to be as follows: three hundred ninety five (\$395.00) dollars as the




statutory basic filing fee; one hundred twenty three (\$123.00) dollars as fees for three excess independent claims; and sixty five (\$65.00) dollars as a surcharge fee - totalling five hundred eighty three (\$583.00) dollars in all. However, as applicant has previously paid five hundred eighteen (\$518.00) dollars in fees, please find enclosed a check in the amount of sixty five (\$65.00) dollars in complete payment of the fees as due. Accordingly, the present additional payment by check is deemed to satisfy in full all the requisite filing fees now due for the above-identified application.

Respectfully submitted,

MICHAEL SIMONS
RUDIGER VOLK
ARIE HOROWITZ

Date: March 2, 1999
P.O. Box 5387
Magnolia, Massachusetts 01930
Tel.: (978) 525-3794

By: 
David Prashker
Reg. No. 29,693
Attorney for applicants



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : M. Simons, R. Volk & A. Horowitz
Serial No. : 09/145,916
Filed : September 2, 1998
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on: March 2, 1999.

Attorney for Applicants:

Signature:

Date:

**REQUEST FOR ENTRY OF FORMALIZED DOCUMENTS
AND SUBSTITUTE DOCUMENTS**

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Box Missing Parts
Washington, D.C. 20231

Dear Sir:

In accompaniment with the enclosed Reply to the Notice To File Missing Parts Of Application, Filing Date Granted as mailed on September 21, 1998 and extended through March 21, 1999 and the Notice To File Corrected Application Papers, Filing Date Granted as mailed September 22, 1998 and extended through March 22, 1999, both relevant to the above-identified

application; applicants' undersigned attorney respectfully requests that the enclosed formally executed Declaration And Power Of Attorney and other signed documents as well as the enclosed substitute drawing constituting Figs. 1-19 respectively now be formally entered in and be made officially of record for the above-identified application. Accordingly, all of these formally signed and/or substitute papers, after being entered and formally recorded, shall be properly transfered from the Applications Branch to the appropriate Group Art Unit and to the custody of the Patent Examiner of record; and these formally signed and/or substitute documents are to be used by the Patent Examiner of record during the substantive prosecution of this application.

Respectfully submitted,

MICHAEL SIMONS
RUDIGER VOLK
ARIE HOROWITZ

Date: March 2, 1989
P.O. Box 5387
Magnolia, Massachusetts 01930
Tel.: (978) 525-3794

By: David Prashker
David Prashker
Reg. No. 29,693
Attorney for applicants



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/14/98

09/07/98

CITRONES

015-000

DAVID FRASHER

P.O. BOX 67

BRIDGEVIEW MA 02146



DATE MAILED:

09/24/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 648.

☒ 1. The statutory basic filing fee is:

☐ missing.

☒ insufficient.

Applicant must submit \$ 395 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ 123 for 3 independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER: Notice to file Corrected Papers

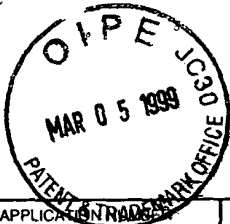
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Bill Brown

1999 NHASSTI 00000007 09145916

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/146,916	09/02/98	SIMONE	M 218-039

DAVID PRASHKER
P O BOX 67
BROOKLINE MA 02146

03/22/0927

NOT ASSIGNED

1643

DATE MAILED: 09/22/98

NOTICE TO FILE CORRECTED APPLICATION PAPERS
Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- ☐ 1. An Abstract, commencing on a separate sheet (37 CFR 1.72(b)).
- ☐ 2. The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- ☐ 3. A substitute specification in compliance with 37 CFR 1.52 because:
 - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
 - ☐ Papers are not typewritten or mechanically printed in permanent dark ink on one side.
 - ☐ Papers contain hand lettering.
 - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
 - ☐ Line spacing on the sheets is not 1-1/2 or double-spaced.
 - ☐ The pages of specification including the abstract and claims are not consecutively numbered starting with the number "1."
 - ☐ The pages of specification do not contain page numbers centrally located within the top or, preferably, bottom margin.
- ☒ 4. Substitute drawings in compliance with 37 CFR 1.84 because:
 - ☒ The drawings or copy of drawings are not suitable for electronic reproduction.
 - ☐ All drawing sheets must be the same size and either A4 (21cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
- ☐ 5. Page(s) _____ are not of sufficient clarity, contrast and quality for electronic reproduction.
New typewritten or mechanically printed pages of sufficient clarity, contrast and quality for electronic reproduction, together with a statement that the new pages contain the same material as those on deposit are required.
- ☐ 6. A new oath or declaration in compliance with 37 CFR 1.63 setting forth the residence (city and state or foreign country) of each applicant (or legal representative under 37 CFR 1.42 or 1.43 of each applicant).
- ☐ 7. An English translation of the non-English language application, the \$130.00 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 8. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Tim Brown
Customer Service Center